

# Topology of algebraic varieties

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## 11 Nori's basic lemma

### 11.1 Nori's approach

We have often used the fact that every cell complex has a *skeletal filtration*, i.e.,

$$Y_0 \subset Y_1 \subset \dots \subset Y_n = Y$$

such that

$$H^i(Y_j, Y_{j-1}) = \begin{cases} \mathbb{Z}^N & i = j \\ 0 & i \neq j \end{cases}$$

We have seen that affine algebraic varieties are homotopy equivalent to a cell complex, so they admit a skeletal filtration. Surprisingly, this filtration can be realised by *affine subvarieties* (defined over the ground field if we are working over fields different from  $\mathbb{C}$ ).

**Theorem 11.1.1** (Basic Lemma (Nori 2002, Beilinson 1987, Vilonen ?)). *Let  $X \subset \mathbb{A}^n$  be an affine variety,  $Z \subsetneq X$  a closed subvariety. Then there is a closed subvariety  $Z \subset Y \subsetneq X$  such that*

$$H^i(X, Y) = \begin{cases} \mathbb{Z}^N & i = \dim(X) \\ 0 & \text{else.} \end{cases}$$

**Example 11.1.2.** Assume  $X = \bar{X} - H$  for a non-singular projective  $\bar{X}$  and a transversal hyperplane section  $H$ . Choose a second hyperplane section  $H'$  transversal to  $X$  and  $H$ . Put  $Y = X \cap H'$ . We claim that it satisfies the property of the theorem. By Bertini's theorem  $H$ ,  $H'$  and  $Y$  are non-singular. As  $X$  and  $Y$  are both non-singular affine, our version of Artin vanishing shows that their cohomology vanishes above  $\dim X$ .

*Proof.* Hence  $H^i(X, Y) = 0$  for  $i > \dim(X)$ .

We have Gysin sequences for  $(\bar{X}, H)$  and  $(H', H \cap H')$ :

$$\begin{array}{ccccccc} \dots & \longrightarrow & H^{i-2}(H) & \longrightarrow & H^i(\bar{X}) & \longrightarrow & H^i(X) & \longrightarrow & H^{i-1}(H) & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & H^{i-2}(H' \cap H) & \longrightarrow & H^i(H') & \longrightarrow & H^i(Y) & \longrightarrow & H^{i-1}(H' \cap H) & \longrightarrow & \dots \end{array}$$

Let  $d = \dim(X)$ . By the Lefschetz hyperplane theorem, we have isomorphisms for  $i < d - 1$  and  $i - 1 < \dim(H) - 1 = d - 2$ . Hence we also have isomorphisms  $H^i(X) \rightarrow H^i(Y)$  for  $i < d - 1$ . Moreover, we have injections for  $i = d - 1$ . A diagram chase shows that we also have an injection for  $H^{d-1}(X) \rightarrow H^{d-1}(H)$ . Hence  $H^i(X, Y) = 0$  for  $i < d - 1$ . That it is even free follows from the universal coefficient theorem because the vanishing is true for any coefficients.  $\square$

We deduce the “skeletal filtration”

**Corollary 11.1.3.** *Let  $X$  be an affine algebraic variety of dimension  $d$ . Then there is an sequence*

$$X_0 \subset X_1 \subset \cdots \subset X_d = X$$

*of closed subvarieties such that*

$$H^i(X_j, X_{j-1}) = \begin{cases} \mathbb{Z}^N & i = j \\ 0 & \text{else} \end{cases}$$

*Moreover, the  $X_j$  can be chosen of dimension  $j$  and such that  $X_j - X_{j-1}$  is non-singular.*

*Proof.* Let  $Z$  be a codimension 1 subvariety of  $X$  containing the singular locus of  $X$ . Let  $Y_{d-1}$  be the subvariety produced by the Basic Lemma. Proceed by induction.  $\square$

*Proof of the Basic Lemma.* We follow [HMS17] p. 48. The argument is due to Nori. We first work with coefficients in a field. Without loss of generality  $Z$  contains all singularities of  $X$ . By Artin vanishing (in the general form) we have  $H^i(X, Y) = 0$  for  $i > d$  and any subvariety of  $X$  containing  $Z$ . It remains to choose  $Y$  such that we also have vanishing for  $i < d$ .

Let  $\tilde{X}$  be projective closure of  $X$ . By resolution of singularities there is a blow-up  $\pi : \tilde{X} \rightarrow \bar{X}$  (proper surjective, isomorphism over  $X - Z$ ) such that  $\tilde{X}$  is non-singular and both the preimages  $\tilde{Z}$  of  $\bar{Z}$  and  $D_\infty$  of  $\bar{X} - X$  and even  $\tilde{Z} \cup D_\infty$  are divisor with normal crossings (locally in the analytic topology given by equations  $z_1 \dots z_m = 0$ ). We choose a generic hyperplane section  $\tilde{H}$  such that  $\tilde{Z} \cup D_\infty \cup \tilde{H}$  is still a divisor with normal crossings. (This is again a version of Bertini’s theorem.) Put  $D_0 = \tilde{Z} \cup \tilde{H}$  and  $Y = \pi(D_0 - D_\infty \cap D_0)$ . This is a closed subvariety of  $X$  and contains  $Z$ . By excision,

$$H^i(\tilde{X} - D_\infty, D_0 \cap D_\infty) \simeq H^i(X, Y),$$

hence it suffices to show vanishing on the level of  $\tilde{X}$ . By a version of Poincaré duality (see below), we have

$$H^i(\tilde{X} - D_\infty, D_0 - D_0 \cap D_\infty) \simeq H^{2d-i}(\tilde{X} - D_0, D_\infty - D_0 \cap D_\infty)^*$$

As complement of a hyperplane, the variety  $\tilde{X} - D_0$  is affine. By Artin vanishing (the non-singular version this time), the right hand side vanishes for  $2d - i > d$ , hence for  $d > i$ . The only remaining cohomology is in degree  $d$ .

Playing around with the universal coefficient theorem implies that even integral cohomology is concentrated in degree  $d$  and even free.  $\square$

**Theorem 11.1.4** (Poincaré duality). *Let  $X$  be a non-singular projective variety,  $D_0$  and  $D_\infty$  divisors with normal crossings such that  $D_0 \cup D_\infty$  is again a divisor with normal crossings. Then the duality map induces isomorphisms*

$$H^i(X - D_0, D_\infty - D_\infty \cap D_0) \simeq H^{2d-i}(X - D_\infty, D_0 - D_\infty \cap D_0)^*$$

*in singular cohomology with coefficients in a field.*

**Remark 11.1.5.** The intersection condition on  $D_0$  and  $D_\infty$  is necessary. There is a counterexample in [HMS17, Remark 2.4.6].

*Proof.* The complete argument is above our technical level. The result follows from patching together local isomorphisms similar to our proof of Poincaré duality. Locally, we have coordinates  $z_1, \dots, z_d$  and  $D_0$  is given by  $z_1 \dots z_{n_0} = 0$  and  $D_\infty$  by  $z_{n_0+1} \dots z_{n_\infty} = 0$ . Let  $U_0$  be a polydisk with coordinates  $z_1, \dots, z_{n_0}$ ,  $U_\infty$  a polydisk with coordinates  $z_{n_0+1}, \dots, z_{n_\infty}$ . Let  $Z_0$  and  $Z_\infty$  be the intersection with the divisors. Locally, we are in a product situation  $U_0 \times U_\infty$  with divisors  $Z_0 \times U_\infty$  and  $U_0 \times Z_\infty$ . Roughly we get our isomorphism from local Poincaré duality isomorphisms

$$H_i(U_0 - Z_0) \cong H^i(U_0, Z_0) \text{ and } H_j(U_\infty - Z_\infty) \cong H^j(U_\infty, Z_\infty).$$

□

## 11.2 The sheaf theoretic approach

One way to view homology is to see it as a means of keeping track of how local invariants induce global ones. For example, a manifold is locally trivial (and locally its homology vanishes), but globally non-trivial (its homology does not vanish). Sheaves are a systematic way of organising the transition.

**Definition 11.2.1.** Let  $X$  be a topological space. A *presheaf* of abelian groups on  $X$  assigns to every open subset  $U \subset X$  an abelian group  $\mathcal{F}(U)$

$$U \mapsto \mathcal{F}(U)$$

and to every inclusion  $V \subset U$  a group homomorphism  $\rho_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  (the restriction homomorphism) such that  $\rho_{UU} = \text{id}$ ,  $\rho_{VW} \circ \rho_{UV} = \rho_{UW}$  for all  $W \subset V \subset U$ . A morphism of presheaves  $\mathcal{F} \rightarrow \mathcal{G}$  consists of homomorphisms  $\mathcal{F}(U) \rightarrow \mathcal{G}(U)$  compatible with the restriction maps.

A presheaf is a *sheaf* if for every open cover  $U = \bigcup_{i \in I} U_i$  the sequence

$$0 \rightarrow \mathcal{F}(U) \rightarrow \prod_{i \in I} \mathcal{F}(U_i) \rightarrow \prod_{(i,j) \in I^2} \mathcal{F}(U_i \cap U_j)$$

(where the map on the right is the difference of the restriction maps) is exact. A morphism of sheaves is a morphism in the category of presheaves.

**Example 11.2.2.** (1) Let  $A$  be an abelian group. The assignment  $U \mapsto A$  is a presheaf (the *constant presheaf*), but in general not a sheaf.

(2) Let  $X$  be a complex manifold,  $\mathcal{O}(U)$  the ring of holomorphic functions. This is a presheaf and even a sheaf.

**Lemma 11.2.3.** *Let  $X$  be topological space,  $\mathcal{F}$  a presheaf on  $X$ . There is a unique sheaf  $\mathcal{F}^+$  (the sheafification) together with a morphism  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  such that every morphism  $\mathcal{F} \rightarrow \mathcal{G}$  into a sheaf factors uniquely via  $\theta$ .*

*Proof.* Omitted. □

**Example 11.2.4.** The *constant sheaf* is the sheafification of the constant presheaf. If  $X$  is a manifold,  $U = \bigcup_{i \in I} U_i$  a disjoint union of connected open subsets, then

$$U \mapsto A^I.$$

In particular,  $U \mapsto A$  for connected open sets  $U$ .

**Definition 11.2.5.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces,  $\mathcal{F}$  a sheaf on  $X$ . We define a sheaf  $f_*\mathcal{F}$  on  $Y$  by

$$f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U)).$$

It is called *push-forward* of  $\mathcal{F}$ .

Let  $\mathcal{G}$  be a sheaf on  $Y$ . We define a sheaf  $f^*\mathcal{F}$  on  $X$  as the sheafification of

$$f^*\mathcal{G}(U) = \lim_{V \supset f(U)} \mathcal{G}(V).$$

If  $j : W \rightarrow X$  is an open embedding, we also define  $j_!\mathcal{F}$  as the sheafification of

$$j_!\mathcal{F}(U) = \begin{cases} \mathcal{F}(U) & U \subset W \\ 0 & \text{else.} \end{cases}$$

If  $Y \subset X$  is a subspace, we also write  $\mathcal{F}|_Y$  for the pull-back.

**Remark 11.2.6.** For good topological spaces like manifolds or the analytic spaces attached to algebraic varieties, there is a way of extending the definition of singular cohomology to cohomology with coefficients in sheaves  $H^i(X, \mathcal{F})$ . If  $j : U \rightarrow X$  is an open embedding, then

$$H^i(X, j_!\mathbb{Z}) \cong H^i(X, X - U; \mathbb{Z}).$$

Now we are ready to define our main player:

**Definition 11.2.7.** Let  $X$  be an algebraic variety over  $\mathbb{C}$ . A sheaf on  $X^{\text{an}}$  is called *weakly constructible* if there is a stratification of  $X$  into locally closed subvarieties  $(S_i)_{i \in I}$  such that the  $\mathcal{F}|_{S_i^{\text{an}}}$  are locally constant.

The strata  $S_i$  can be chosen non-singular.

**Theorem 11.2.8** (Basic Lemma II). *Let  $X$  be an affine variety of dimension  $n$  and  $\mathcal{F}$  a weakly constructible sheaf on  $X$ . Then there exists a Zariski open subset  $j : U \rightarrow X$  such that*

- (1)  $\dim(X - U) < n$ ,
- (2)  $H^q(X, \mathcal{F}) = 0$  for  $q \neq n$  where  $F^! = j_!j^*\mathcal{F} \subset \mathcal{F}$ ,

**Lemma 11.2.9.** *Basic Lemma II implies the Basic Lemma.*

*Proof.* Use  $\mathcal{F} = j_Z^!\mathbb{Z}$  for  $j_Z : X - Z \rightarrow X$ . □

## References

- [HMS17] Annette Huber and Stefan Müller-Stach. *Periods and Nori motives*, volume 65 of *Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics]*. Springer, Cham, 2017. With contributions by Benjamin Friedrich and Jonas von Wangenheim.